I hereby certify that this correspondence is being electronically filed in the United States Patent and

Trademark Office on May 2, 2007

Glenn P. Ladwig, Patent Attorney

Patent Application Docket No. C&R-114 Serial No. 10/570,123

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Stephen Noel Fitzgerald, Richard Joseph Fagan, Christine Power,

Melanie Yorke

Serial No. : 10/570,123

Filed: February 28, 2006

Confirm. No.: 7426

For : C1Q Related Protein

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

COMMUNICATION

Sir:

The applicants received a Notification of Defective Response in the subject application dated April 16, 2007. A copy of the Notification is attached. The Notification indicates that a computer readable copy of the sequence listing was not included with the applicants' submission of March 16, 2007.

According to the Patent Office's Patent Application Information Retrieval (PAIR), the computer readable format (CRF) of the sequence listing was received by the Patent Office on March 19, 2007. Attached are documents printed from the Image File Wrapper indicating that the Patent Office has received the CRF of the sequence listing for the subject application.

1. Copy of the Transaction History from PAIR indicating that the "CRF Is Good Technically/Entered into Database";

- 2. Copy of the Image File Wrapper (IFW) from PAIR indicating that the sequence listing was received on March 19, 2007. This document lists the name and size of the CRF of the sequence listing; and
- 3. Copy of the IFW indicating that the CRF was entered ("CRF entered-partial listing printed by STIC"—a copy of this document is also attached).

In addition, the undersigned's legal assistant spoke to the paralegal responsible for the subject application, Ms. Paulette Kidwell, on April 27, 2007. Ms. Kidwell acknowledged that the CRF of the sequence listing has been received by the Patent Office and entered in the subject application and that a duplicate CRF does not need to be submitted in response to the Notification of Defective Response.

Respectfully submitted,

Glenn P. Ladwig Patent Attorney

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Gainesville, FL 32614-2950

GPL/mv

Attachments: (as stated above)



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1459

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ATTY, DOCKET NO FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. C&R114 Stephen Noel Fitzgerald 10/570,123 INTERNATIONAL APPLICATION NO. PCT/GB04/04197 PRIORITY DATE 23557 LA. FILING DATE SALIWANCHIK LLOYD & SALIWANCHIK 10/01/2003 10/01/2004 A PROFESSIONAL ASSOCIATION GPL PO BOX 142950 **CONFIRMATION NO. 7426** 18 GAINESVILLE, FL 32614-2950 371 FORMALITIES LETTER *OC000000023381943*

Date Mailed: 04/16/2007

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 02/28/2006
- Copy of the International Search Report filed on 02/28/2006
- Preliminary Amendments filed on 02/28/2006
- Oath or Declaration filed on 03/19/2007
- Biochemical Sequence Listing filed on 02/28/2006
- Request for Immediate Examination filed on 02/28/2006
- U.S. Basic National Fees filed on 02/28/2006
- Priority Documents filed on 02/28/2006
- Power of Attorney filed on 03/19/2007
- Specification filed on 02/28/2006
- Claims filed on 02/28/2006
- Abstracts filed on 02/28/2006
- Drawings filed on 02/28/2006
- Paper nucleotide sequence listings filed on 02/28/2006

Applicant's response filed 03/19/2007 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 09/13/2006 have not been completed.

• A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.		
10/570,123	PCT/GB04/04197	C&R114		

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04-25-2007	CRF Is Good Technically / Entered into Database				
04-16-2007	Notice of DO/EO Defective Response Mailed.				
03-19-2007	Additional Application Filing Fees				
03-19-2007	A statement by one or more inventors satisfying the requirement under 35 USC 115, Oath of the Applic				
03-18-2006	Cleared by OIPE CSR				
02-28-2006	Initial Exam Team nn				

If you need help:

- Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail <u>EBC@uspto.gov</u> for specific questions about Patent Application Information Retrieval (PAIR).
- Send general questions about USPTO programs to the <u>USPTO Contact Center (UCC)</u>.
- If you experience technical difficulties or problems with this application, please report them via email to Electronic Business Support or call 1 800-786-9199.

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RAW SEQUENCE LISTING

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